

# North American Cellular System Based on Code Division Multiple Access

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## Architecture

- IS-95 prescribes spread spectrum signals with a bandwidth **1.23 MHz** in each direction.
- A soft handoff mechanism
  - A terminal establishes contact with a new BS **before** giving up its radio link to the original BS.
  - The switch estimates the quality of the two signals and sends **one of them** to a **speech decoder**.
- CDMA soft handoff requires BSs to operate in **synchronism** with one another.
  - All BSs contain **global positioning system (GPS) receivers**.
  - The GPS signals include **precise time information**, accurate to within **one microsecond**, relative to universal coordinated.

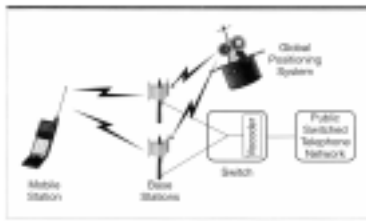


Figure 6-1 CDMA architecture supporting a soft handoff situation.

## Identification Codes

- Every MS has a 8-bit station class mark (**SCM**) to indicate **capabilities** of an MS.
- Each MS stores **40 bits** to describe its precise configuration.
  - The 8-bit mobile manufacturer code (MOB\_MFG\_CODE) identifies **the brand of the MS**.
  - The 8-bit mobile model number (MOB\_MODEL) is the **model number** assigned by manufacturer.
  - The 16-bit mobile firmware revision (MOB\_FIRM\_REV) is the **terminal firmware version**.
  - The 8-bit mobile protocol revision (MOB\_P\_REV) is the **version of IS-95** supported by the MS.

## The BS Identifiers

- The 15-bit system identifier (**SID**) is assigned by regulators to a geographical service area.
- The network identifier (**NID**) is denoted a set of BSs defined by an operating company.
- **PN\_OFFSET** is a time delay applied to forward direction transmissions that enables the terminals in a cell to decode the desired signal and reject signals from other BSs.
- The 4-bit **BASE\_CLASS** identifier anticipates terminals that will have access to **a variety of wireless services**.

## The Identifier About Mobility Management

- Mobility management:
  - Location-area registration
  - Timer-based registration
  - Distance-based registration
- A 12-bit **REG\_ZONE** identifier to be assigned to each BS to play role as the **LOCAID** in NA-TDMA to facilitate **location-area registration**.
- The identifiers, **BASE\_LAT** (22 bits) and **BASE\_LONG** (23 bits), specify the exact geographic location of the BS, in **latitude-longitude coordinates** to perform **distance-based registration**.

## Compare CDMA radio transmission

- CDMA employs **different** transmission techniques in the forward and reverse directions.
- There are **two stages** of modulation in a CDMA system.
- To maximize spectrum efficiency, the CDMA employs **variable-bit-rate traffic channels**.
- The CDMA system has a reuse factor of **one**. Each radio channel is used in all sectors of all cells.

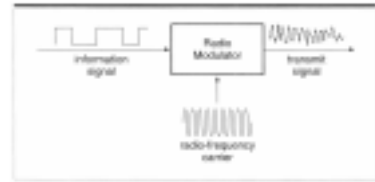


Figure 6.2 Single-stage digital modulation (TDM and FDM)

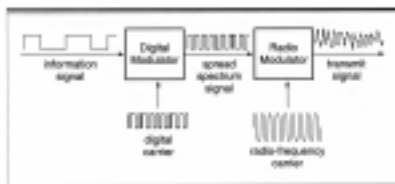


Figure 6.3 Two stages of modulation in a spread-spectrum system.

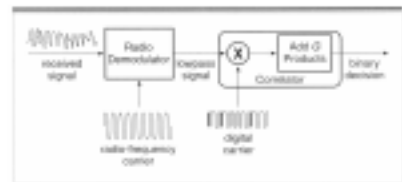


Figure 6.4 Two stages of demodulation in a spread-spectrum receiver.

## Synchronization

- **Mobility** changes the nature of the multiple signal paths between a terminal and a BS.
- **Location** changes cause some paths to disappear and new signal paths to appear.
- IS-95 prescribes **multiple correlators** in each receiver. The correlators are referred to as **demodulating elements**.
- Each **correlator** operates with a digital carrier synchronized to one propagation path.

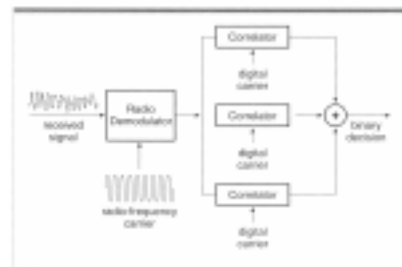


Figure 6.5 RAKE receiver: the three digital carriers are synchronized to different signal paths.

## CDMA Carriers

- The bandwidth of a CDMA signal is **1.23 MHz**.
- Each physical channel in AMPS occupies **30 kHz**.
- The bandwidth of a CDMA signal corresponds to the aggregate bandwidth **41 AMPS channels**.

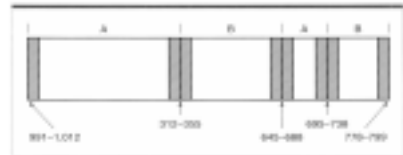


Figure 6-6 CDMA radio-frequency carriers with bandwidths expressed as AMPS channel numbers.

## Reverse-Direction Radio Transmission

- The digital carrier is derived from a **channel identifier**, 42-bit **long code mask**, containing the ESN of the terminal and a **long code**, produced by a binary random number generator.
- The long code repeats itself after  $2^{42}-1$  chips. The repetition rate is **41.4 days**.

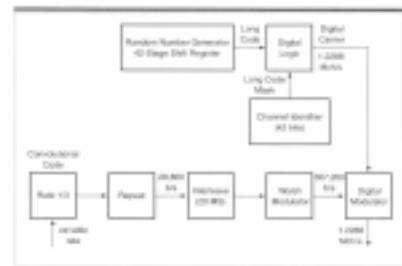


Figure 6-7 Digital modulation on the CDMA reverse traffic channel.

## Contents of 20 ms Frames, Reverse Channel

Data Rate R b/s	1200	2400	4800	9600
Information rate R1 b/s	800	2000	4000	8600
Information bits per frame (IBPF)	16	40	80	172
Parity bits per frame (PBPF)	0	0	8	12
Data bits per frame (IBPF+PBPF+8)	24	48	96	192
Code bits per frame (CBPF)	72	144	288	576
Repetitions	8	4	2	1
Total bit per frame (BPF)	576	576	576	576

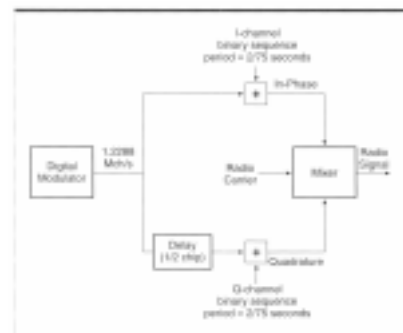


Figure 6-8 Reverse channel TDD modulation. Repetition order within transmission frame.

## Radiated Power in the Reverse Transmission

- The aim is to make all reverse-direction signals in a cell arrive at the BS **with the same strength**.
- The terminal performs power adjustments **800 times per second** under the control of the BS. These adjustments are referred to as **closed-loop power control**.
- IS-95 specifies **open-loop power control**, which causes the terminal to adjust its transmitter power as a function of the power it measures in the received forward-direction signal.
- To arrive at equal energy per bit in the transmitted signal, a CDMA terminal transmits reduced-bit-rate signals intermittently with a duty cycle **inversely proportional to the data rate**.

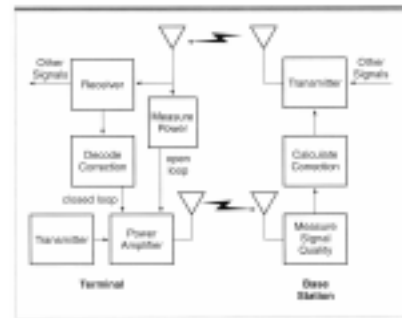


Figure 6-9 Power control of a CDMA terminal

## Forward Link Radio Transmission

- All digital carriers in the forward direction are mutually **orthogonal**.
- In a system operating with **orthogonal carriers**, a digital demodulator tuned to one physical channel contains **no interference** from other physical channels arriving **on the same propagation path**.
- A convolutional code, with constraint length nine, rate  $\frac{1}{2}$ , protects each signal. The resulting channel bit rate can range from 2400 b/s to 19200 b/s.
- When the rate is less than 19200 b/s, the transmitter repeats code bits to bring the rate up to 19200 b/s, corresponding to 384 bits per frame.

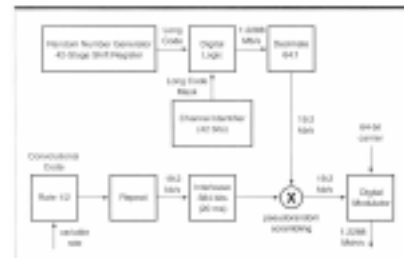


Figure 6-10 Digital modulation for paging channels and forward traffic channels.

## Contents of 20 ms Frames, Forward Channel

Data Rate R b/s	1200	2400	4800	9600
Information rate R1 b/s	800	2000	4000	8600
Information bits per frame (IBPF)	16	40	80	172
Parity bits per frame (PBPF)	0	0	8	12
Data bits per frame (IBPF+PBPF+8)	24	48	96	192
Code bits per frame (CBPF)	48	96	192	384
Repetitions	8	4	2	1
Total bit per frame (BPF)	384	384	384	384

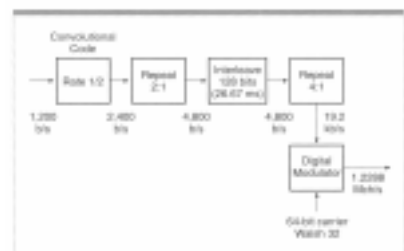


Figure 6-11 Digital modulation for the sync channel

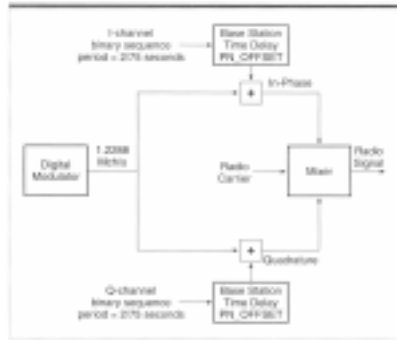


Figure 6.13 Forward-direction CDMA transmitter with antenna (not shown) (see Table 6.1)

## Spectrum Efficiency

- One of the most striking properties of CDMA is that all cells simultaneously use the **same radio bands**.
- In CDMA, capacity depends on the amount of **interference** a system can tolerate within the constraint of a signal quality objective, such as **binary error rate**.
- $E_b$  is the energy per bit in the desired signal and  $N_0$  is proportional to the sum of the interference power and noise power at the receiver.
- A system that can operate with a **lower value of  $E_b/N_0$**  can tolerate more interference and admit more conversations.
- Capacity calculations are **complicated** and depend critically on properties of **the probability distributions of these random quantities**.

## Capacity

- **Directional BS antennas** increase capacity.
- **Variable-bit-rate speech transmission** also increases capacity.
- **Interference** from surrounding cells reduces capacity.
- **Imperfect power control** also reduces capacity.
- **An outage margin** reduces capacity.

## Logical Channels

- There are **64** physical channels available for CDMA BS transmission.
- One of these channels is always active as a **pilot channel** and another one serves as a **sync channel**.
- The remaining physical channels in the forward direction comprise a mixture of **paging channels** and **forward traffic channels**.
- IS-95 specifies that the BS transmit **at most one sync channel** and **at most seven paging channels**.

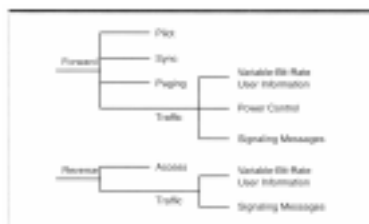


Figure 6.13 CDMA logical channels

## Pilot Channels

- The pilot channel transmits a continuous sequence of **0s** at a rate of 1.2288 Mch/s.
- Terminals in other cells tune to the pilot channel to **obtain signal strength indications** as part of a **CDMA mobile-initiated handoff procedure**.

## Sync Channel

- The sync channel **repeatedly** transmits one message, which conveys system information to terminals, including **system time** and the **time delay**.
- Also transmits the BS's **SID/NID** and the minimum **IS-95 protocol revision**.
- Also transmits the **information rate** of the BS paging channels.

## Paging Channel

- A CDMA signal carries up to **seven paging channels**.
- The information rate is either **4800 b/s** or **9600 b/s**.
- The maximum length of a paging message is **1184 bits**.
- A maximum paging channel slot cycle consisting of **2048 paging channel slots**.
- The total duration of this slot sequence is **2048\*80 ms=163.84 s**.
- A terminal determines the **specific paging channel and the slots** within the channel that it monitors by performing a computation based on the terminal's **MIN** and **ESN**.

## Sleep Mode and Paging Channel

- For example
  - The terminal can examine one slot in **every 16 slots**.
  - The terminal in an idle state can operate with **reduced power for 15** out of every 16 slots and then **wake up to examine the sixteenth slot** for a paging message.

## Access Channel

- A terminal without a call in progress uses an access channel to send messages to a BS for **3** principal purposes:
  - To **originate a call**
  - To **respond to a paging message**
  - To **register its location**
- **Each BS** operates with up to **32 access channels**.
- The digital carrier of an access channel depends on the **42-bit channel identifier**.
- The transmission rate of each access channel is **4800 b/s**.

## Access Channel (cont.)

- The maximum length of an access message is 880 bits or **10 frames** (200 ms).
- The minimum length of an access message is **3 frames**.
- A preamble consisting of 1-16 frames, each containing **96 0s**, precedes each access message.
- A transmission on an access channel covers a **minimum of 4 frames** and a **maximum of 26 frames**.

## Access Protocol

- Each transmission is referred to as **probe**.
- After transmitting a message, the terminal waits for an **ACK** to arrive on the **paging channel**.
- The transmissions **collide at the BS** and mutual interference prevents the BS from receiving more than one transmission in any slot.
- The **random waiting time** reduces the probability that the two terminals will transmit again in the same slot.
- The **variable power levels** increase the probability that one message will be received at higher power than interfering messages.
- The process continues until the terminal receives an **ACK** or the **number of probes** reaches a **limit specified** by the system.
- The number of allowed probes is between **1 and 16**.



Figure 6.1.6. Access procedure

## CDMA Traffic Channels

- Compared with other systems, IS-95 traffic channels have **2** distinguishing characteristics.
  - They carry speech at **variable bit rates** ranging from 9600 b/s, to 1200 b/s, depending on **an analysis of input speech and on signaling activity**.
  - They carry a dynamic mixture of **user information** and **network control information**.

## Variable-Bit-Rate Speech Transmission

- The system performs encoding and decoding operations on **20 ms speech frames**.
- The coder represents speech at 4 bit rates: 8000 b/s, 4000 b/s, 2000 b/s, 800 b/s, producing **160, 80, 40, or 16** bits per 20 ms frame.
- In each frame, the QCELP speech coder generates **10 linear prediction coding filter coefficients**.
  - There are **40, 20 and 10** linear predictor bits per frame.
- The speech coder performs a **long-term prediction** (pitch) analysis that generates 2 quantities: **an estimated pitch period and a pitch gain**.
  - There are **40, 20, and 10** bits per frame of pitch information.
- At the highest rate, the speech coder introduces an error-correcting block code to protect the **18 bits** in the 20 ms frame.
  - This block code adds **11 bits** per frame to the 8000 b/s speech coder output.
- At the two higher rates, the system also adds a **cyclic redundancy check error-detecting block code** to each speech frame to enable the receiver to monitor transmission quality.

## Contents of Traffic Channel Speech Frames

Data Rate (b/s)	1200	2400	4800	9600
Speech rate (b/s)	800	2000	4000	8000
Speech content (bits per frame)	16	40	80	160
Filter coefficients (bits per frame)	10	10	20	40
Pitch parameters (bits per frame)	0	10	20	40
Excitation parameters (bits per frame)	6	20	40	80
Error-correction code (bits per frame)	0	0	0	11
Frame content bit (bits per frame)	0	0	0	1
Information bits per frame (IBPF)	16	40	88	172
Error-detecting code (EBPF)	0	0	8	12

## Variable-Bit-Rate Speech Transmission (cont.)

- Variable-bit-rate speech coding serves **2** purposes.
  - It raises **system capacity** by reducing the average amount of interference that each transmitter causes to other communications.
  - In addition to **reducing interference**, variable-bit-rate speech coding allows the BS to multiplex signaling information with user information on a traffic channel.
- The encoder **examines the contents of each speech frame** and determines the necessary coding rate. The most important part of this analysis is **voice-activity** detection.
  - The effective transmission rate goes from 9600 b/s to 1200 b/s and the transmitter produces **1/8** of the interference energy of a full-rate transmission.

## Signaling on CDMA Traffic Channels

- To exchange network control information while a call is in progress, CDMA terminals and BSs **interrupt** or **reduce** the flow of speech information and insert messages into traffic channels.
- There are **five modes** of operation.
  - In the **blank-and-burst** mode, control messages **completely replace** the speech.
  - In the 3 **dim-and-burst** modes, there is a mixture of speech information and control information in each frame.
  - When control messages are present, the traffic channel always operates at **9600 b/s**.
- The **first content indicator bit** distinguishes speech-only frames from other frames.

## Number of Bits per Frame in Full-Rate CDMA Traffic Channels

Transmission Mode	Blank-and-Burst	Dim-and-Burst			Speech Only
Speech	0	16	40	80	171
Control message	168	152	128	88	0
Content indicator	4	4	4	4	1
Parity check	12	12	12	12	12
Coder tail bits	8	8	8	8	8
Information bits	192	192	192	192	192

## Power Control Subchannel

- With a call in progress, a BS monitors the received power from each terminal and transmits **power control commands** to the terminal **at a data rate of 800 b/s**.
- Each **bit** transmitted in the power control subchannel commands a terminal either to **increase or decrease its transmitter power by 1 dB**.
- The BS inserts **16 power control bits** into every frame transmitted on a forward traffic channel.
- Every  $1/800 \text{ s} = 1.25 \text{ ms}$ , the multiplexer replaces **2 traffic channel code bits** with **1 power control bit**. The process is known as **puncturing the convolutional code**.

## Messages

- IS-95 performs network control operations by exchanging messages between terminals and BSs on **4 types** of logical control channels.
- The **power control signal** is a continuous 800 b/s stream with each bit directing a terminal to raise or lower its power by 1dB.
- The **pilot channel** carries an **unmodulated beacon signal** that allows terminals in the local cell to synchronize their code generators.
- Terminals in nearby cells **measure the strength of the pilot** as part of CDMA handoff procedures.
- The **sync channel broadcasts** one message that conveys system information to **all terminals in a cell**.

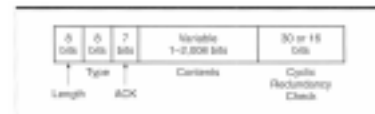


Figure 4-16 CDMA message format

## Layer 2 Acknowledgments

- The CDMA system inserts into each message at least **7 bits** of information for data link layer control at **layer 2**.
- The layer 2 information in every CDMA message consists of a **3-bit** message sequence field (MSG\_SEQ), a **3-bit** acknowledgment sequence field (ACK\_SEQ), and **1** acknowledgment required (ACK\_REQ) bit.

## Acknowledgment Messages

- A layer 2 ACK confirms the **reception of legitimate bit sequence**.
- An ACK message confirms the **logic of the content of an original message** and indicates whether a terminal or BS is prepared to perform a function prescribed in the signaling message.



## Message Content

- The **sync channel** carries **one message** with the principal purpose of **synchronizing the random-number generator**.
- The **SYSTEM PARAMETERS** message, carried on **paging channels**, carries **information on mobility management procedures** to be used in the current cell, and **information on the maintenance of channel sets** used in soft handoff procedures.

## Radio Resource Management

- A CDMA system has to maintain a **narrow range of received power levels** among the signals arriving at a BS.
- In order to confine the received signal powers to a narrow range, IS-95 performs a combination of **open-loop** and **closed-loop** operations to control the power of the transmitters at terminals.

## Open-Loop Power Control

- A terminal measures the **strength of the received pilot signal** associated with the **forward traffic channel**.
- $P_{open} = P_{receive} + P_{target}$
- $P_{receive}$  is the measured power level of the **received signal at the terminal**.
- $P_{target}$  is the difference between the **transmitted power level** at the BS and the **desired received signal level** at the BS.
- $P_{target}$  is calculated on the basis of information received from the BS in an **ACCESS PARAMETERS** message.

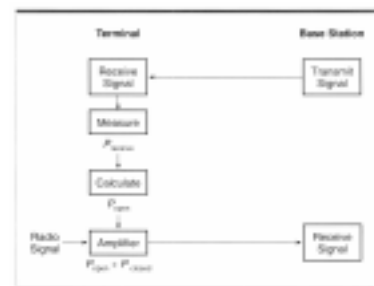


Figure 6.18 Open-loop power control.

## Closed-Loop Power Control

- To control the closed-loop power adjustment, the BS decides, every **1.25 ms**, whether the power received from the terminal is too high or too low.
- It transmits this decision as **1 bit in the power control subchannel**.
- On receiving this signal, the terminal modifies  $P_{closed}$  by increasing  $P_{closed}$  by **1 dB** or decreasing  $P_{closed}$  by **1 dB**.
- $P_{transmit} = P_{open} + P_{closed}$

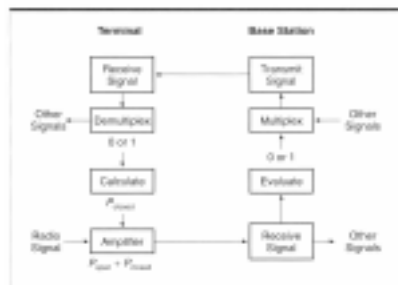


Figure 6.13 Closed-loop power control.

## Power Control at BSs

- Each BS contains **its own algorithms** for regulating forward link power.
- The BS uses a **POWER CONTROL PARAMETERS** message to request information from the terminal about **the quality of the forward traffic channel signal**.
- The terminal responds with a **POWER MEASUREMENT REPORT** message that contains **the strength of the received forward traffic channel signal**.
- The BS uses the data in the message to determine **the power of the forward traffic channel signal**.

## Soft Handoff

- As a terminal moves from one cell to another, it communicates simultaneously with the BSs in **both cells**.
- CDMA handoff is **mobile assisted**, with each terminal performing measurements that influence handoff decisions.
- CDMA handoff is exceptional in being **mobile initiated**.
- CDMA handoff is **switch controlled**, with the switch making handoff decisions and assigning new physical channels.

## Soft Handoff (cont.)

- Each terminal has its own **unique** physical channel, determined by the 32-bit electronic serial number (**ESN**).
- During soft handoff, 2 different BSs assign **correlators** to receive signals on **this physical channel**.
- Soft handoff requires **2** sets of signal-processing functions: **measurement** and **diversity reception**.
  - A terminal dedicates at least one correlator, referred to as a searcher, to performing the measurement.
  - The other correlators participate in diversity reception.

## 4 lists of BSs

- To perform its measurement and reporting tasks, a terminal maintains **4 lists of BSs**, with each BS characterized by a **9-bit PN\_OFFSET**.
  - **Active list**: contains BSs currently used for traffic channel transmissions.
  - **Candidate list**: consists of BSs classified by the terminal, on the basis of measured signal quality, as available for traffic channel transmissions.
  - **Neighbor list**: is a set of nearby BSs that could soon be available for handoff.
  - **Remaining list**: contains the BSs that are not in any of the other categories.

## Soft Handoff Procedure

- The searching **correlators** in the terminal monitor the signal strengths of all pilots in the **active**, **candidate**, and **neighbor** lists.
- When these measurements suggest that a handoff by sending a **PILOT STRENGTH MEASUREMENT** message.
- On receiving the **HANDOFF DIRECTION** message, **a BS commands a terminal**, the terminal then tunes one or more correlators to this forward traffic channel.
- While the call is in a soft handoff state, all BSs perform **closed-loop power control**.



## Other Types of Handoff

- IS-95 is capable of transferring a call **from CDMA traffic channel to an analog voice channel (hard handoff)**.
- The system is **not capable** of transferring a call in progress from an analog voice channel to a CDMA digital traffic channel.

## IS-95 Registration Modes

Registration Type	Event Triggering Registration
Power up	Subscriber turns on the terminal
Power down	Subscriber turns off the terminal
Timer	Elapsed time since previous registration exceeding a limit
Distance	Distance between present BS and BS that received previous registration exceeds a limit
Zone	Terminal enters a new registration zone

## Authentication and Privacy

- IS-95 incorporates the authentication and encryption technologies specified for **NA-TDMA**.
- IS-95 includes a privacy technique, allows each user to operate with a **private long code mask**, unique to a CDMA system.
- Like the **encryption A-key**, the **private long code mask** is stored in the memory of a CDMA telephone and in a secure location managed by the network operator.

## OA&M

- With signals in all cells covering a bandwidth of **1.23 MHz**, CDMA systems are especially vulnerable to malfunctions in **individual terminals**.
- To protect a system against this possibility, IS-95 specifies messages that a system can send on **paging channels and forward traffic channels** in order to stimulate corrective action at a terminal.
- A LOCK\_UNTIL\_POWER\_CYCLED message **disables a terminal's transmitter** until the user turns off the terminal power and turns it on again.
- A MAINTENACE REQUIRED message causes the terminal to **inform the user that there is a problem** that requires attention.